

Module 6 – Impact Assessment





Training Modules for Applying the Mitigation Hierarchy: Planning Policy and Projects for No Net Loss or a Net Gain of Biodiversity

Module 6 Types of impacts on biodiversity and types of impact assessment.







Application of the mitigation hierarchy and planning for NNL/BNG can be integrated into environmental and social impact assessments.

This module covers the impact assessment process, starting with an exploration of direct, indirect and cumulative impacts and then covering baseline studies on biodiversity.

The next topic is the assessment of projects' impacts – within their footprint and beyond, with a consideration of a variety of typical impacts from projects in different industry sectors. Presentation of the outcomes of impact assessments are raised, with some reflections on challenges with EIAs, which do not automatically deliver NNL/BNG.

The next section touches on how anticipated impacts can be managed by following the mitigation hierarchy, with consideration of alternatives analyses, and consideration of how to manage impacts on sensitive (high conservation value) biodiversity.

A section on Environmental Management Plans and Biodiversity Action Plans is followed by some take home messages.





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Understanding impacts: introduction







It's a process:

- Preparing a report on the impacts of a project on the environment, including biodiversity (species, habitats, processes, etc.)
- Consulting stakeholders
- Review by the permitting authority

It's information for making decisions

- The project proponent: to make changes to the project, including targeted mitigation and offsettting measures
- Stakeholders and the public
- Permitting authority



The quality of the EIA determines the environmental outcomes of a project



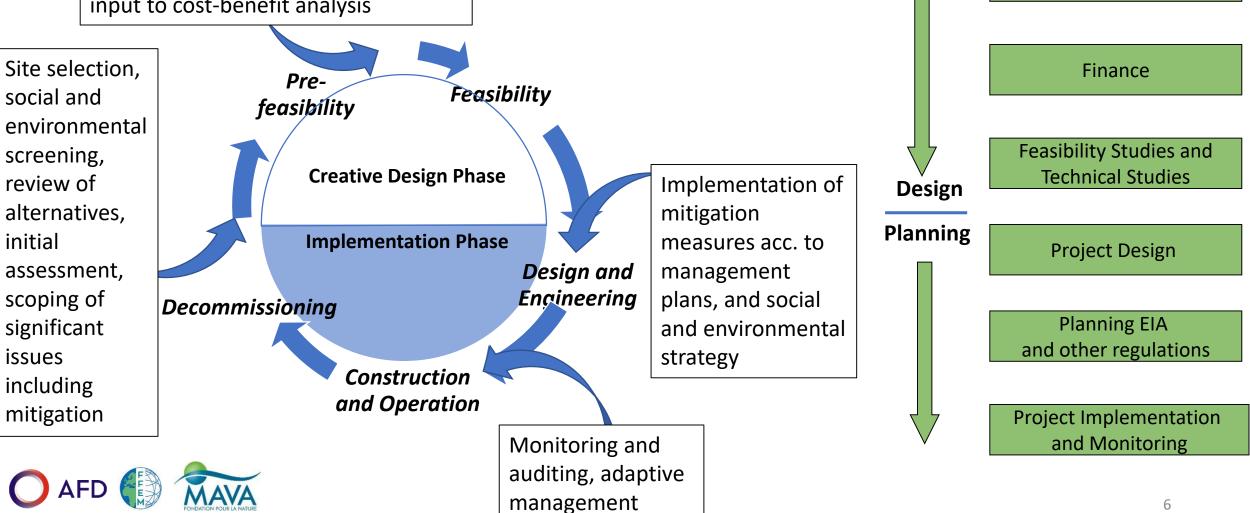


EIA in the project cycle



Strategies and Policies

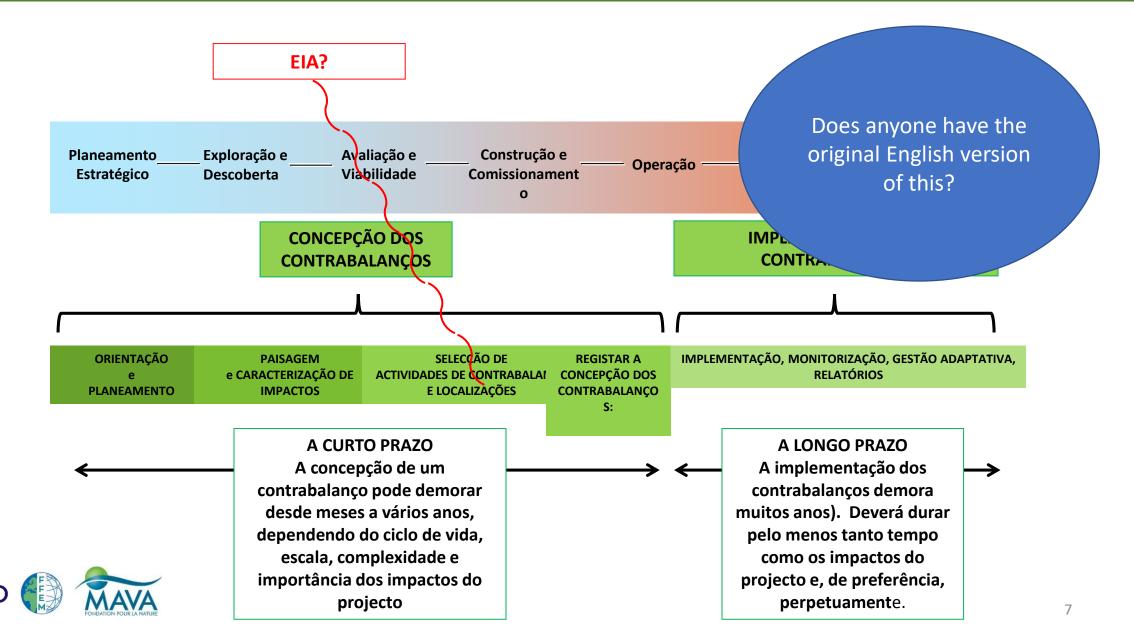
Detailed assessment of impacts including residual impacts, identification of mitigation measures, input to cost-benefit analysis





Life cycle of project and offset









- Which biodiversity features are present?
 - Natural habitats (terrestrial, aquatic, marine ...)
 - Species and their habitat requirements
 - Ecological processes (connectivity, water cycle, etc.)
- What is their importance?
 - Legal status
 - Conservation status
- What is their sensitivity to the likely impacts?
 - Permanent vs. temporary
 - Construction and/or operations

- What is the proposed mitigation?
 - Avoidance
 - Minimization
- What are the residual impacts?
 - Are residual impacts acceptable?
 - How will they be offset?







- Direct impacts: An outcome directly attributable to a defined action or project activity. (Often called 'primary impact'.)
 E.g.: loss of habitat flooded by a dam.
- Indirect impacts: impacts triggered in response to the presence of the project, rather than being directly caused by the project's own operations. (Sometimes called 'secondary' or 'induced' impacts.)

E.g.: the presence of a mine may lead to an increased local workforce with knock-on effects on biodiversity, due to increased land conversion and levels of hunting.

• **Cumulative impacts:** the totality of impacts that ultimately arise from a single project or the combination of a series of activities. Cumulative impacts are likely to arise from activities under the control of the developer, but also from related activities and from other background pressures for which responsibility and control rest with others (e.g. government and local communities).

E.g.: a housing development on the edge of a wetland may add to pressures on the wetland from other developments (such as construction of other residential and commercial buildings, roads, local agricultural intensification, etc).

➔ While an individual project's impacts may be manageable, its indirect and cumulative impacts may be irreversible and too severe to be capable of being offset.

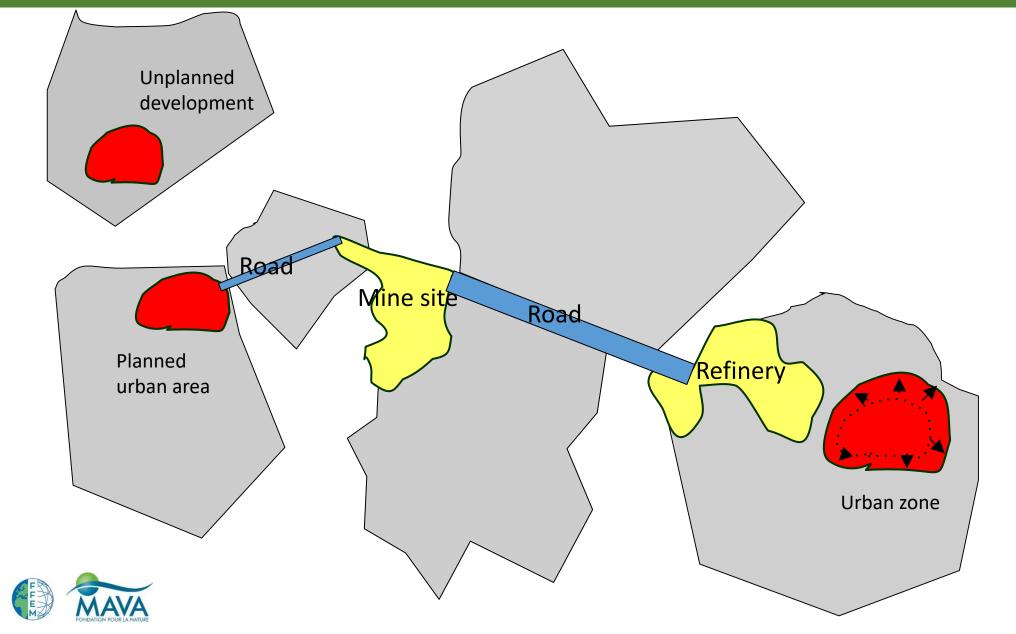




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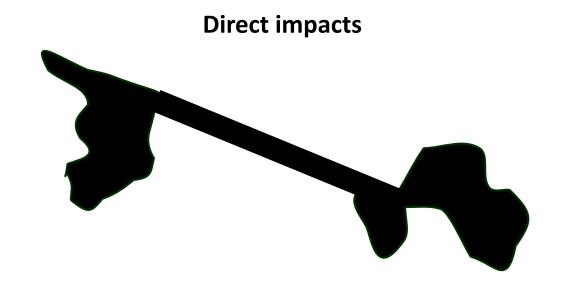
Direct and indirect impacts









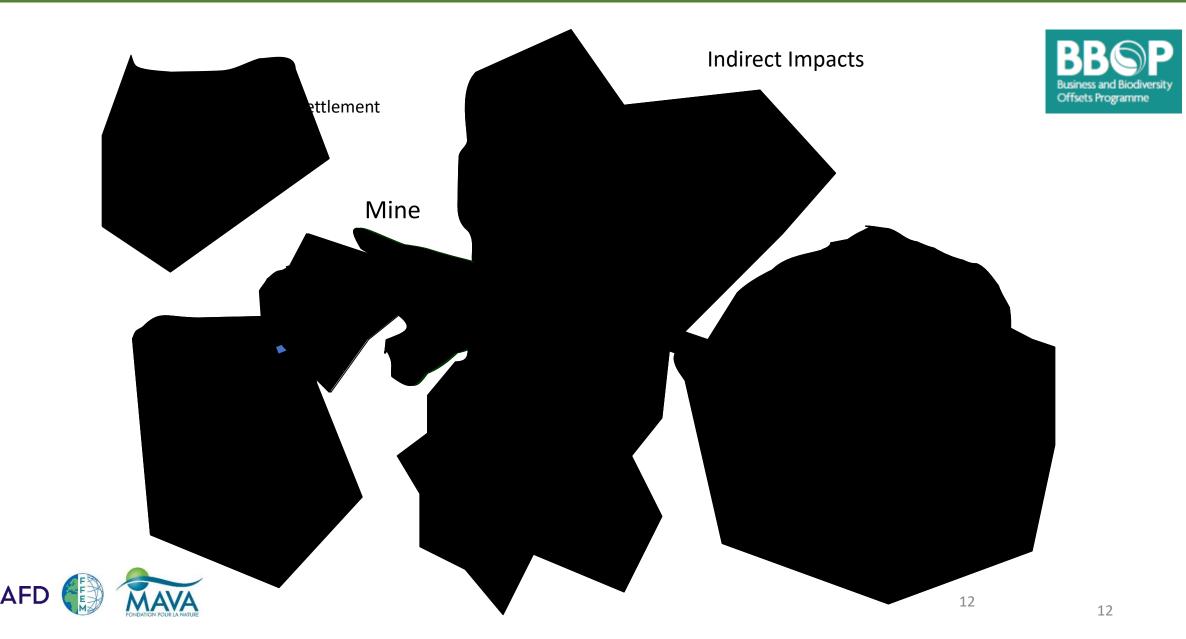






Direct and indirect impacts

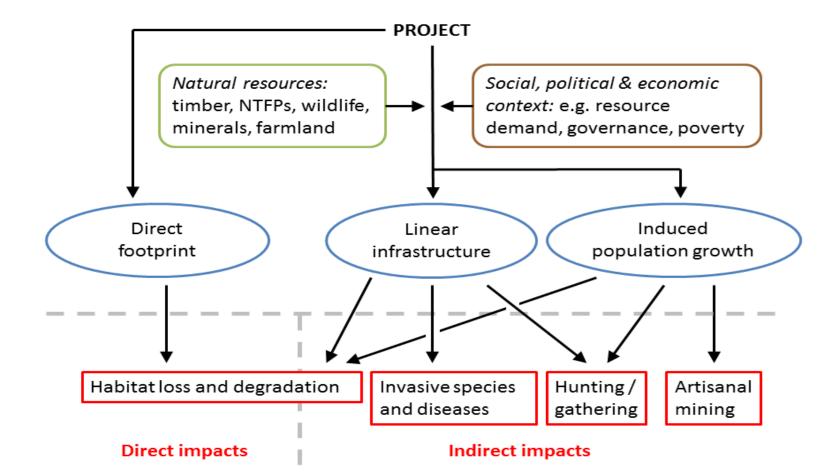






Direct and indirect impacts











Indirect impacts



Indirect impacts: Impacts triggered in response to the presence of the project, rather than being directly caused by project activities. (Sometimes known as 'secondary' or 'induced' impacts.)

E.g.: The presence of a drilling rig can mean a greater local work force with greater impacts on biodiversity, due to a change in land-use and increased hunting.



Does this well pad mean:

Just 1 hectare of impact? Or much bigger impact through:

- blocking the passage of animals
- impacts of inmigrants
- four times the consumption of fish taken to market on drilling access roads.



While the impact of a single project may be manageable, its indirect and cumulative impacts may be irreversible and too serious to be compensated!

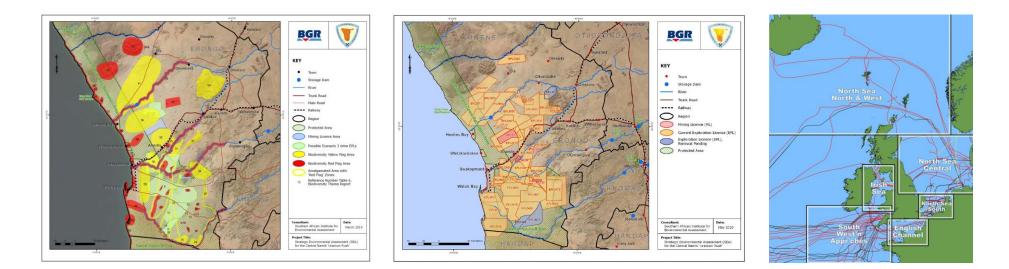






Cumulative impacts: The totality of all the impacts generated by a single project or by a combination of a series of activities. Includes impacts under the control of the developer, but also impacts from associated activities and other sources of pressure where responsibility and control lies with third parties (e.g. government and local communities).

E.g. A housing project near a wetland adds to the pressure on the wetland from other projects (e.g. construction of other commercial and housing buildings, roads, intensification of the local agricultural, etc.)

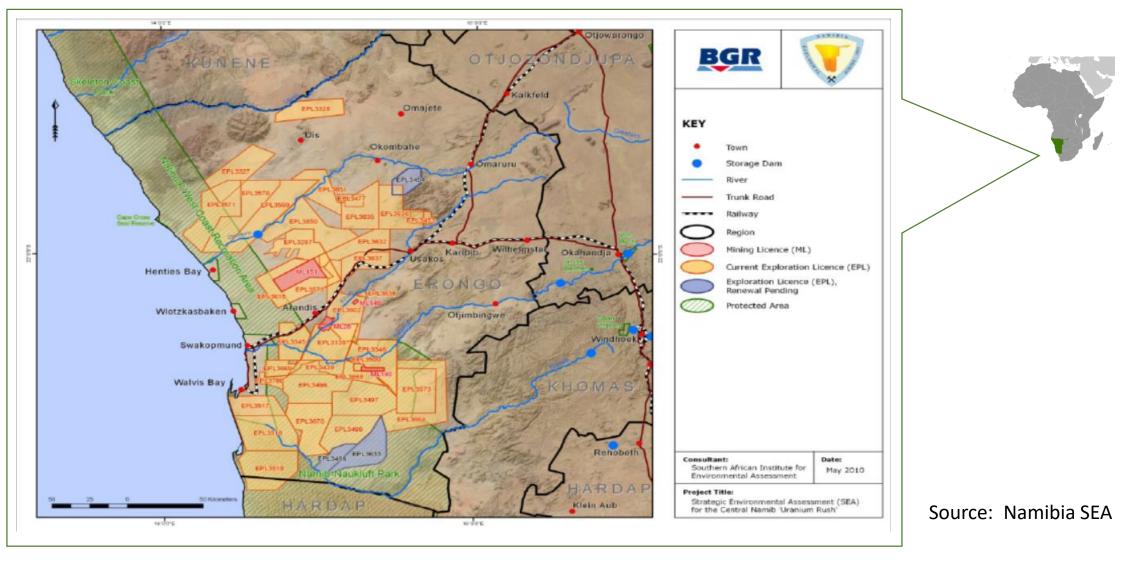






Cumulative impacts - example





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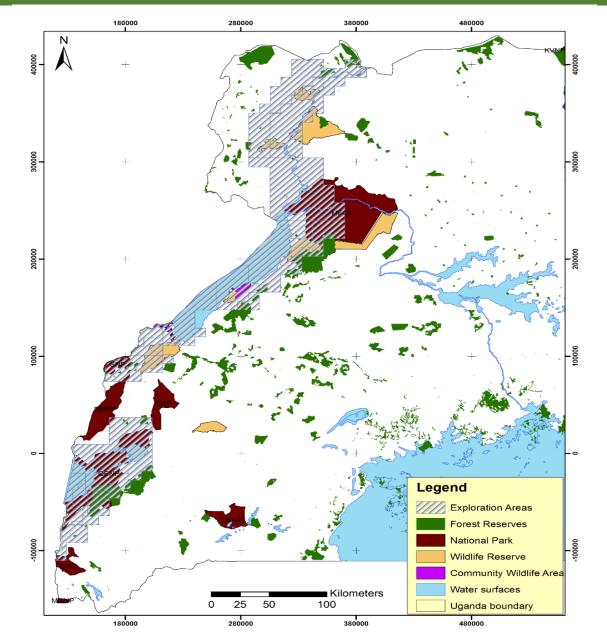


Cumulative impacts - example



A housing development on the edge of a wetland may add to pressures on the wetland from other developments (such as construction of other residential and commercial buildings, roads, local agricultural intensification, etc).

→ Indirect and cumulative impacts may be irreversible and too severe to be capable of being offset





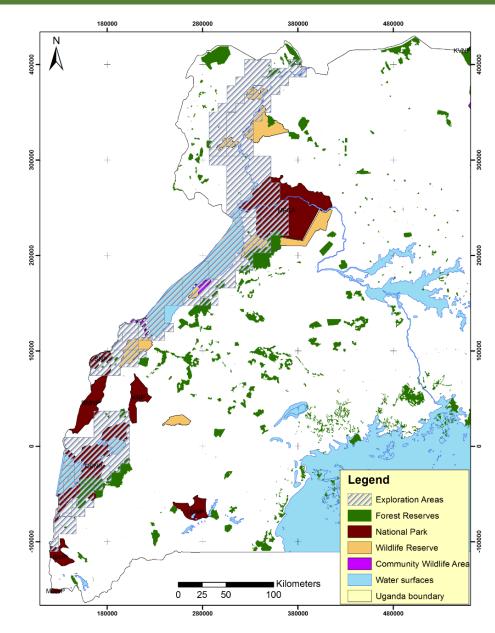


Cumulative impacts



- Impacts caused by an action **in combination** with other past, present and future human actions.
- Indirect and cumulative impacts may be irreversible and too severe to be capable of being offset
- 1. First, develop list of those impacting on important biodiversity. Where possible identify how much impact they are having.
- 2. Second, broadly estimate the baseline condition of the key feature and potential ongoing total cumulative impact.
- 3. Third, superimpose project impacts with those ongoing impacts.









Understanding impacts: Pre-impact baseline studies on biodiversity







- Project location relative to key biodiversity areas
- Study areas for biodiversity
- Who did the work and how?
 - Expertise
 - Seasonality
- Quality of baseline data
 - Completeness
- Analysing data
 - Mapping habitats and species
 - Red data lists
 - Sensitivity maps









A description of the project's location relative to:

- Administrative boundaries
- Vegetation and land-uses
- Protected areas
- Conservation priorities / commitments
- Human population density
- Infrastructure and major industries

Description of the ecological landscape (50-200 km radius) in which the project is set

- Topography & geomorphology
- Geology and soils
- Climate and extreme weather events
- Main ecological units (intact forest blocks, coral reefs, floodplains ... based on referenced typology)
- Main land-uses and natural-resource uses (including illegal activities)

Atlas with maps :

- National level
- Provincial level
- Landscape level

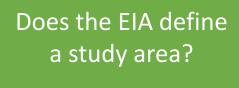
Does the EIA include maps that locate the project at the national and landscape level? Does the EIA include maps that describe the main ecological units around the project?



What is the study area?

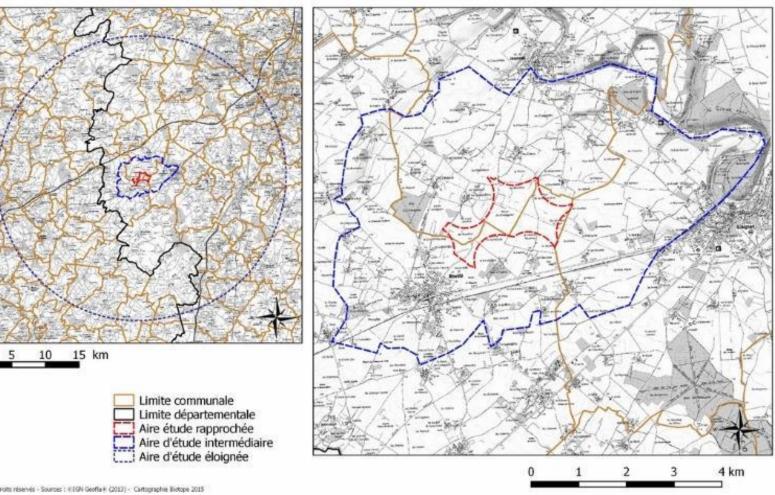


- Landscape-level
- Intermediate distance
- Immediate proximity



How is it justified?

Ecologically?



Wind power plant in France

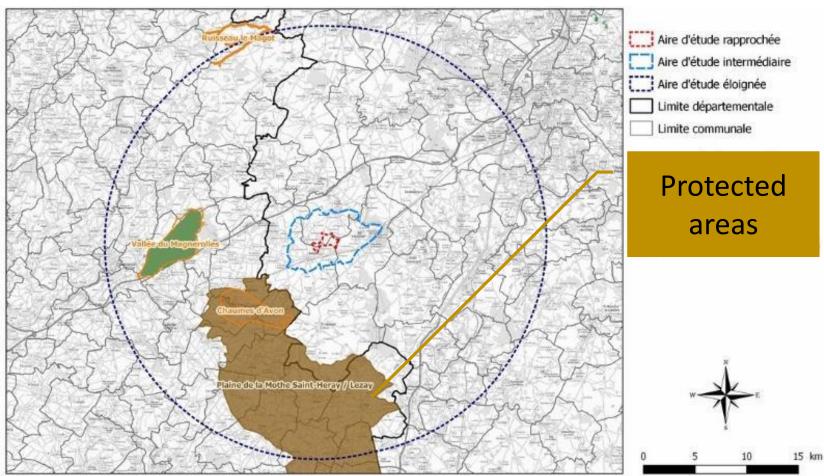




Project location relative to protected areas is essential

It's part of avoidance

Does the EIA locate the project relative to existing and planned protected areas (on a map)?



(Res - Tous droits reserves - Sources : @ KGN Geoflark (2013) - DREAL Policy-Charmetee (2014) - Cartographie (Electope 20

Wind power plant in France





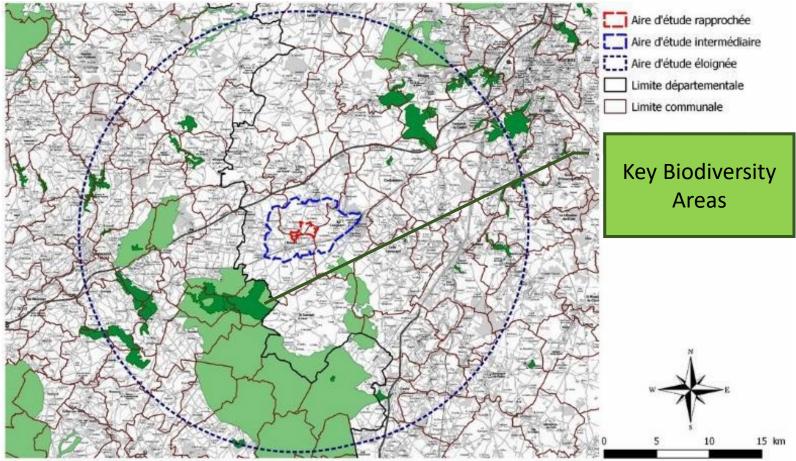


Project location relative to areas known for their biodiversity importance

Example: Key Biodiversity Areas (KBA)

It's part of avoidance

Does the EIA locate the project relative to know key biodiversity areas (on a map)?



#Res - Tous drots reserves - Sources : #1GN Geofa # (2013) - # DREAL Potou Charentes (Service WMS FEGASE) - Cartographie # Biotope 2016

Wind power plant in France





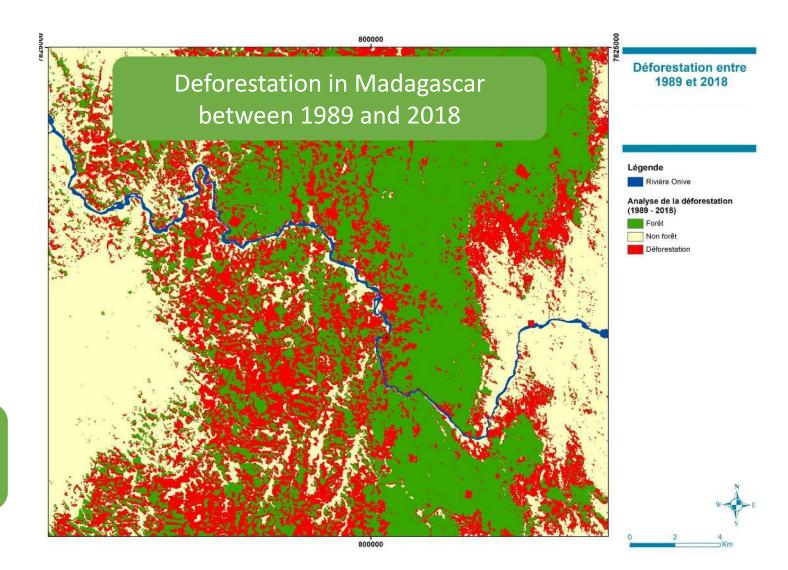


What are the known and on-going threats to biodiversity in the area?

- Deforestation
- Illegal hunting / logging

This is important for cumulative impact analysis

Does the EIA include a map of threats / pressures on biodiversity?





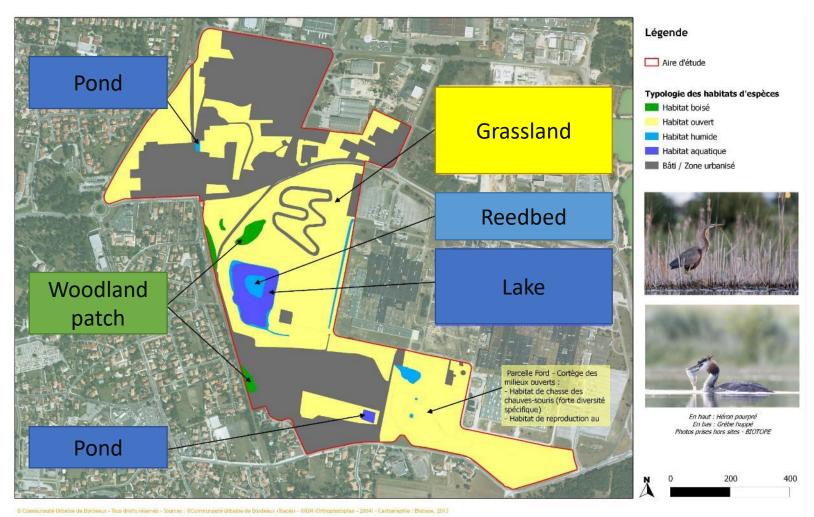


Biodiversity isn't limited to protected areas!

Biodiversity isn't limited to natural habitats!

Does the EIA consider where important biodiversity might occur?





Urban development in Bordeaux (France)





Screening (desktop)

A good baseline is not limited to a list of species seen in the field Targeted field investigations by specialists

> Baseline report

A list of publications and sources of data must be included in the EIA



Who did the work?



It is useful to provide:

- Role in preparing the EIA
- Name
- Qualifications
 - Diplomas
 - Membership of professional societies
 - Years of experience

Role	^t Name	Qualification
Chef de projet	Gaëlle VIVES	Titulaire d'un Master II en expertises écologiques et gestion de la biodiversité
Coordination et rédaction de l'étude		Chef de projet ornithologue, 9 ans d'expérience dans le domaine
Botaniste et entomologue Expertise des habitats naturels, de la flore et des insectes	Thomas PICHILLOU	Titulaire d'un Master II professionnel en Forêt, Agronomie, Génie de l'Environnement, spécialité Conservation et Restauration des Ecosystèmes Botaniste et entomologue, 8 ans d'expérience dans le domaine
Fauniste Expertise des oiseaux, mammifères terrestres, amphibiens et reptiles	Lucien BASQUE	Titulaire d'une licence professionnelle « Suivi et diagnostic agri environnement ». Titulaire d'un BTS GPN option GEN Fauniste, 8 ans d'expérience dans le domaine.
Directeur d'étude spécialiste de l'éolien Suivi et contrôle Qualité	Florian LECORPS	Titulaire d'un Master 2 en gestion de l'environnement Directeur d'études, Ecologue et ornithologue, 11 ans d'expérience dans le domaine

Does the EIA provide the names of the key staff involved in preparing it?

Are they qualified?

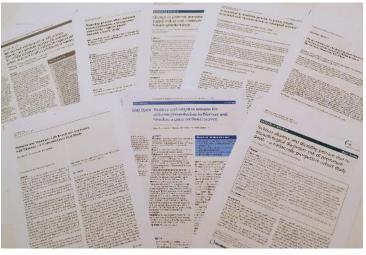




What literature was consulted?



It is useful to provide a list of references / sources of information



Scientific publications

Does the EIA provide a list of referenced publications?

Are the references relevant to the site and project?



Technical reports







Field work must be described:

- What?
- Where?
- When?
- How long?
- Who?

Does the EIA provide a detailed log of field surveys?

Important considerations include:

- Seasons
- Weather
- Water level
- Anything that might influence the reliability of field data

Does the EIA describe factors that may decrease the completeness or quality of the survey data?





Where were the surveys done?







Aire d'étude intermédiaire

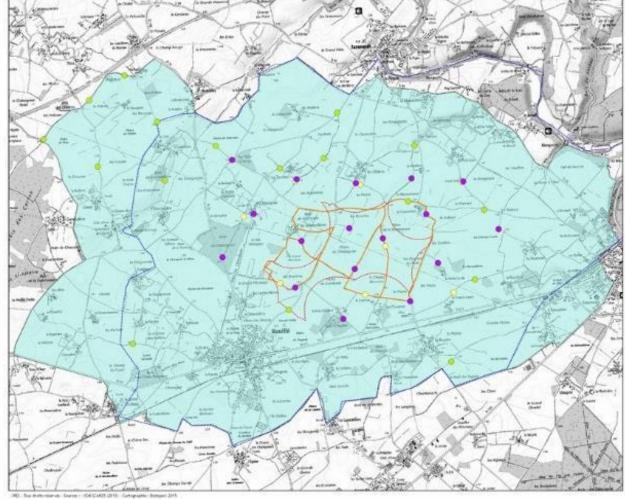
Transects (car & foot)

Does the EIA include a map of where surveys took place?

Do the surveys cover the study area? Are there any areas that may have been overlooked?



0.5 1 1.5 km

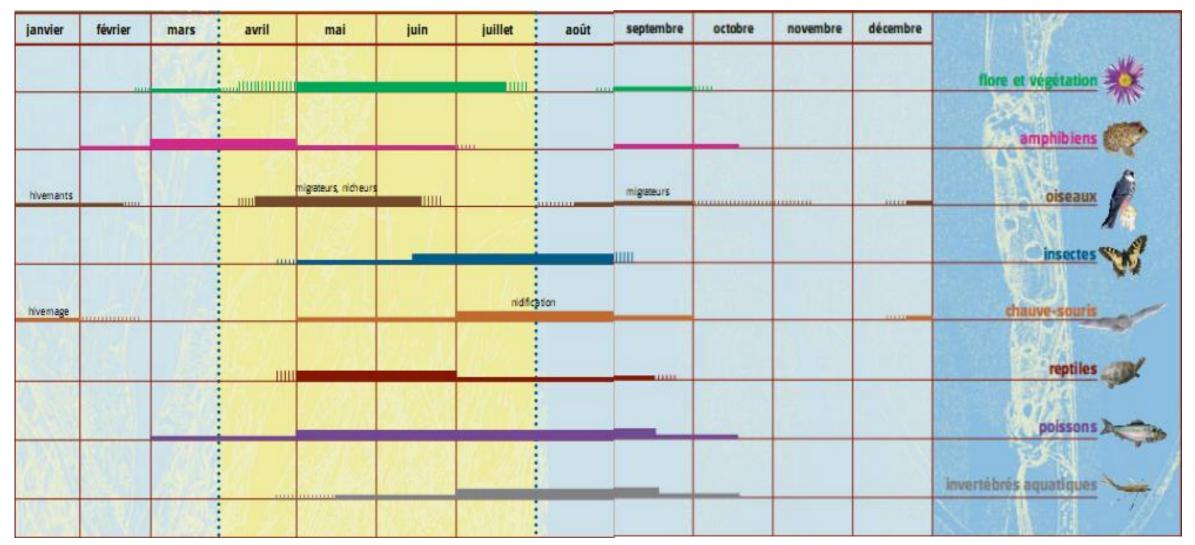


Wind power plant in France







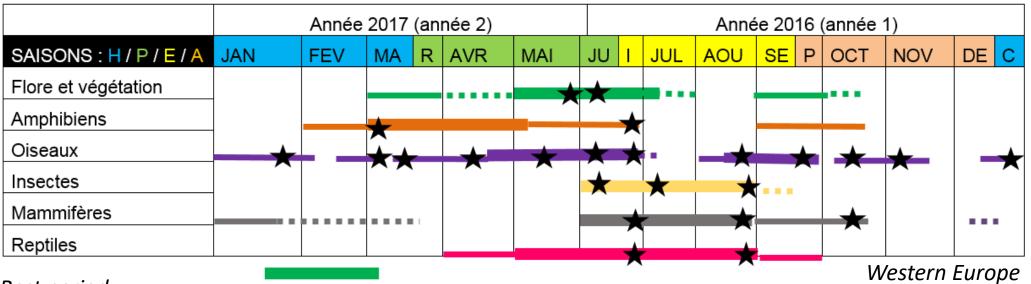




Western Europe







Best period

Possible period

Accetable extensions

Field surveys!



.........

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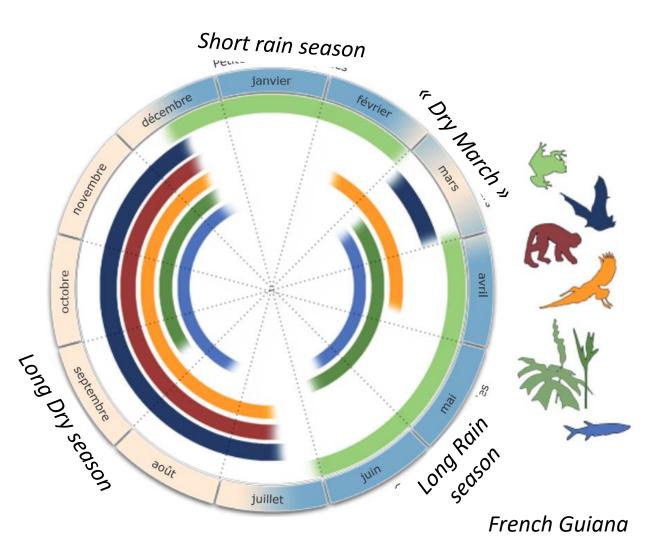
Are the dates of field surveys appropriate given the seasons?







	Wet season	Dry season
Flora		
Insects		
Fish		
Amphibians & reptiles		
Birds		
Mammals		









Species lists are a key outcome of the desktop and field work:

- Vegetation types
- Flora
- Insects
- Molluscs
- Crustaceans
- Fish
- Amphibians
- Reptiles
- Birds
- Mammals

Does the EIA provide a list of species?

Are they provided in systematic order?

Does the EIA not cover some taxonomic groups?

For each species, the list must also include information on:

- Type of observation
- Habitat use
- National protection status
- National conservation status
- IUCN status

- ...

What other information do you expect from an EIA?

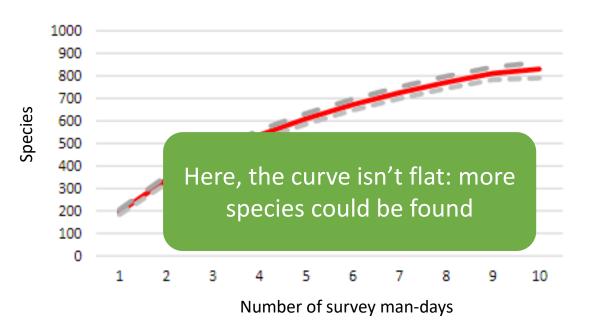






- Accumulation curves are a good indicator of the level of effort
- As the level of effort increases, the number of new species detected must decrease
- The number of species can be compared to what was expected given:
 - Regional species list
 - Ecosytem species list
 - Other assessments in the same ecosystem

Does the EIA provide species accumulation curves?



By comparing with relevant species lists, completeness was estimated at 70%

Gold mine in French Guiana



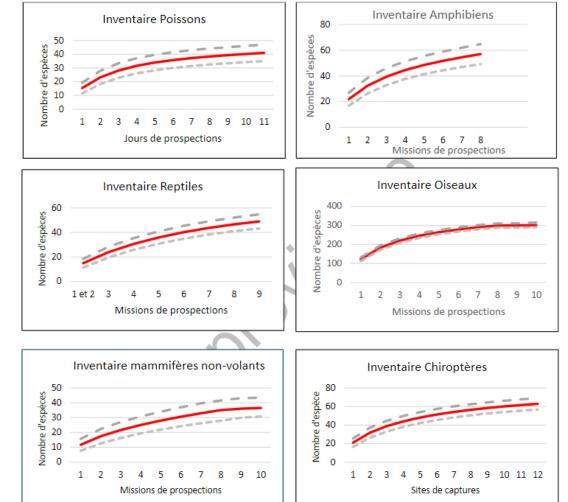


How complete are the species lists?





The same analysis can be conducted for all taxonomic groups



Gold mine in French Guiana





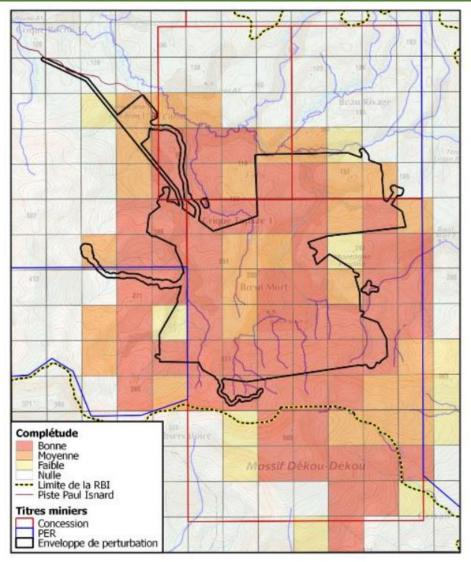
How complete is the baseline?



Completeness can be assessed by looking at:

- Location (where)
- Duration (how long)
- Timing (when)
- Protocol (how)
- Skills (people)

Does the EIA describe its completeness or limitations transparently?



Gold mine in French Guiana





Where were species found?



is fators



Map of amphibian observations:

- Species
- Number of individuals
- Type of habitat: aquatic or terrestrial?

Does the EIA include maps of species observations?



- Aire d'étude intermédiaire
- Amphibiens protégés et patrimoniaux
- Triton crêté
- Triton marbré

Amphibiens protégés non patrimoniaux

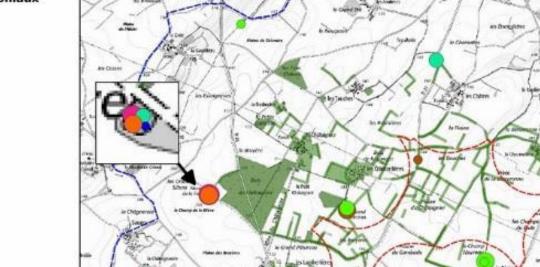
- Alyte accoucheur
- Crapaud commun
- Grenouille agile
 Complexe des
- Grenouilles vertes
 Salamandre tachetée
- Triton palmé

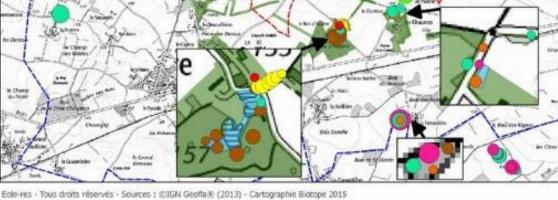
Effectifs observés

- 1 individus
 2 à 5 individus
- 6 à 11 individus
- 12 à 20 individus
- 21 à 30 individus

Habitats pour les amphibiens

- Milieux aquatiques de reproduction
- Milieux terrestres d'estivage et d'hivernage
- Milieux terrestres linéaires
 - 500 1000 1500 m





Diagnostic écologique - Projet de parc éolien de Berceronne (86)



Which species are found in which habitats?



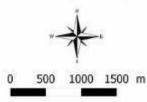
- Habitat used by species need to be identified and mapped
- Several species can share a habitat:
 - Forest species
 - Miombo species
 - Open grassland species
 - etc.

Does the EIA include a map of species habitats?

Aire d'étude rapprochée

Cortège de l'avifaune

- Cortège des milieux aquatiques (Canard colvert, Héron cendré...)
- Cortège des milieux boisés (Faucon crécerelle, Buse variable, passereaux...)
- Cortège des milieux urbains (Hirondelles, Chevêche d'Athéna, Effraie des dochers...)
- Cortège des milieux ouverts (Busard cendré, Busard Saint-Martin, Oedicnème criard, Alouette des champs...)
- dont milieux herbeux particulièrement favorables à la présence des espèces comme les Busards, la Pie-grièche écorcheur...
- Cortège des milieux semi-ouverts (Pie-grièche écorcheur, Linotte mélodieuse, Fauvette grisette...)





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Wind power plant in France



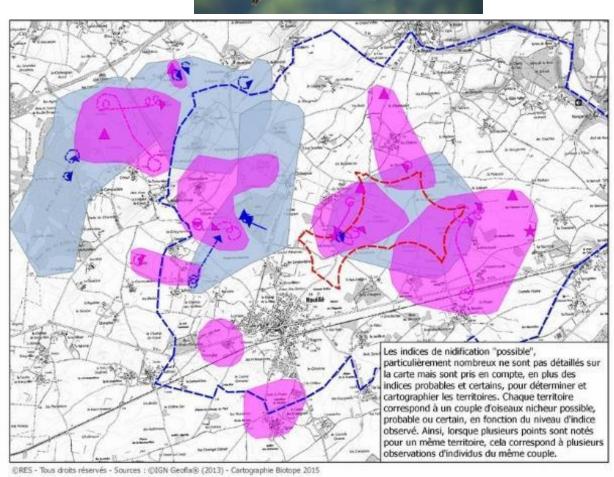
How do species use habitats?



Some species use different habitats for:

- Breeding
- Foraging
- Wintering
- Some species are not tied to a particular type of vegetation
 - Large mammals
 - Birds of prey
 - Does the EIA identify the key features used by species?





Wind power plant in France





- Species and habitat features are ranked in terms of importance:
 - High concern
 - Medium
 - Low concern
 - Negligible concern
 - ...
- The location of high-concern species and habitats can be mapped

Does the EIA explain how species and habitats were ranked in terms of importance?

What criteria were used?

















Species

- Globally or nationally endangered species
 - Critically Endangered (CR)
 - Endangered (EN)
 - Careful with Vulnerable (VU)
- Restricted-range or endemic species
 - Area of occurrence < 50 000 Km2
 - Number of know localities < 100
- Concentrations of migratory and congregatory species

Ecosystems

- Highly-threatened & unique ecosystems
- Key evolutionary processes







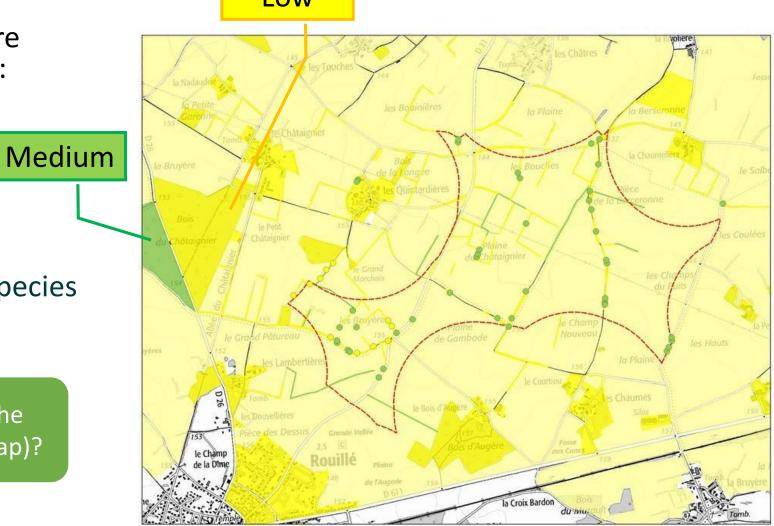
Synthesis of biodiversity issues



Low

- Species and habitat features are ranked in terms of importance:
 - High concern
 - Medium
 - Low concern
 - Negligible concern
 - ...
- The location of high-concern species and habitats can be mapped

Does the EIA provide a map of the most sensitive sites (sensitivity map)?



Wind power plant in France



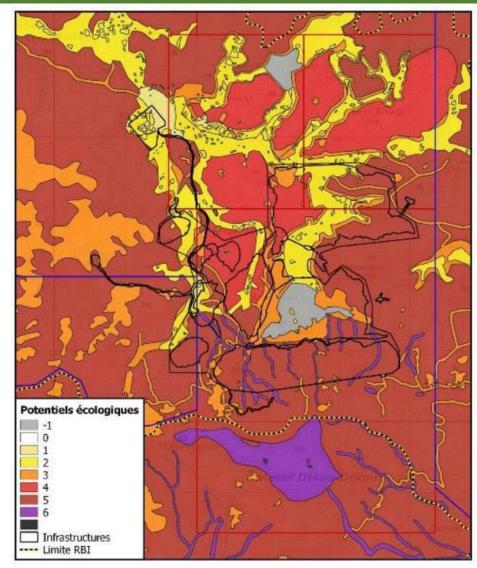


Synthesis of biodiversity issues



Species and habitat features are ranked in terms of importance and mapped

Potentiels écologiques	Description	Habitats concernés avant impact		
-1	Habitat d'origine anthropique très perturbé sans dynamique de régénération : absence de végétation et érosion très forte entraînant des pollutions par matières en suspension (MES).	- Terrain vagues		
0	Habitat artificialisé occupé par des installations industrielles	- Aucun		
1	Habitat d'origine anthropique occupé par une végétation cultivée (verger) ou pionnière et entretenue mécaniquement.	- Zones rudérales		
2	Habitats d'origine anthropique occupés par une mosaïque de végétations pionnières denses et d'habitats aquatiques artificiels accueillant parfois quelques espèces écologiquement adaptées ou protégées	 Friches et brousses Eaux douces dormantes Clairières lianescentes hydromorphes 		
3	Habitats naturels forestiers ayant subi des dégradations structurelles importantes et anthropiques (exploitation de bois, pistes forestières, défrichements) ou non (glissement de terrain), mais abritant de nombreuses espèces spécialisées et/ou protégées	- Cambrouses - Forêts secondaires		
4	Habitats naturels forestiers matures ayant subi des dégradations localisées, mais conservant une grande partie des éléments caractéristiques d'une forêt primaire (structuration, cortège floristique et faunistique)			
5	Habitats naturels forestiers matures non perturbés et abritant une biodiversité floristique et faunistique exceptionnelle à l'échelle mondiale			
6	Habitats naturels primaires localisés et de faible étendue, originaux de par leur singularité fonctionnelle ou leurs conditions abiotiques particulières et accueillant des espèces rares à l'échelle mondiale, protégées, écologiquement spécialisées.	Forêts des torrents et des vallons encaissés Forêts sommitales de moyenne altitude Forêts marécageuses de moyenne altitude Parois rocheuses Mares forestières		



Gold mine in French Guiana







Assessing projects' impacts on biodiversity







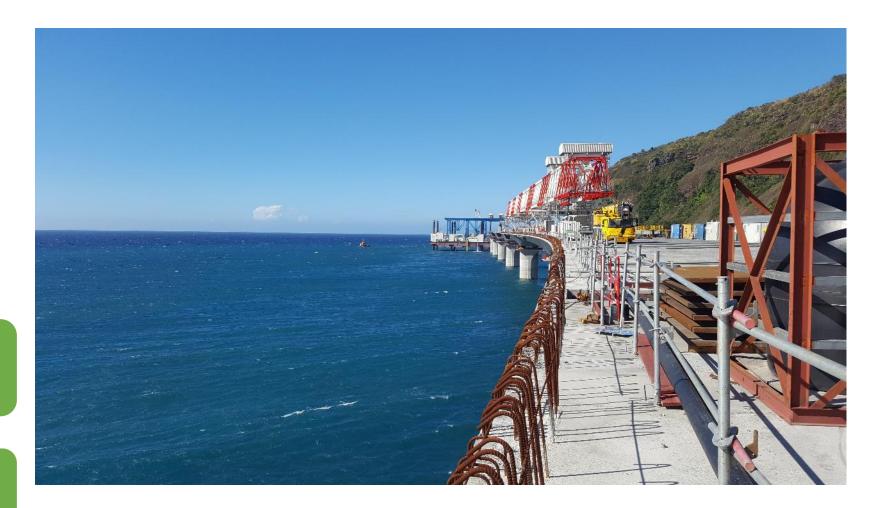
Some key information

- Justification
- Location
- Design
- Associated facilities
- Timeline (construction& operations)
- Alternatives!

Does the EIA include enough information on the project?

Does the EIA include an alternatives analysis?

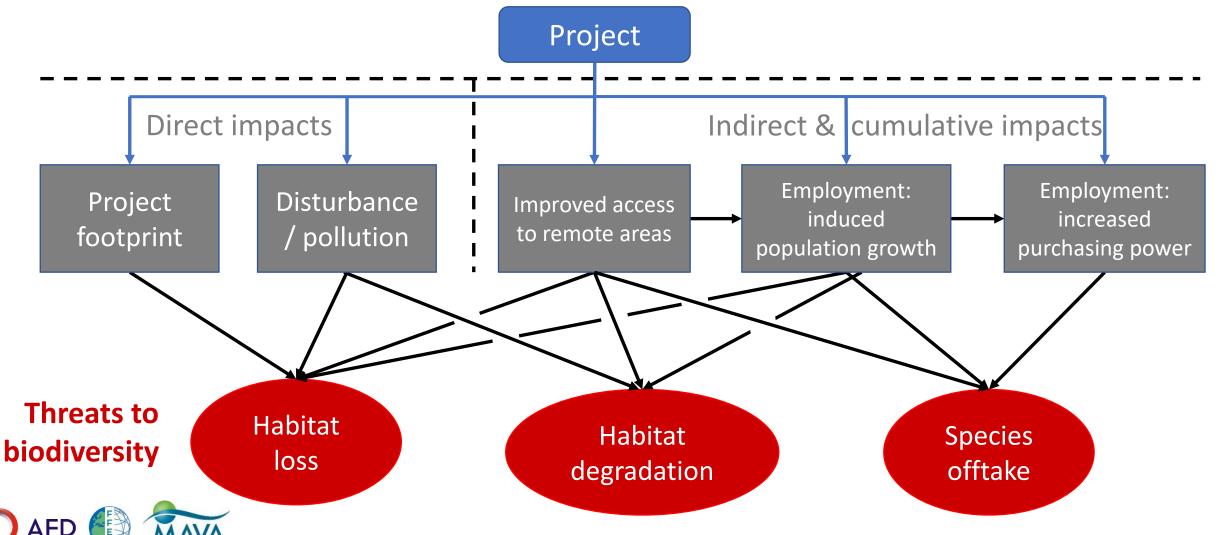




Offshore road in Réunion island





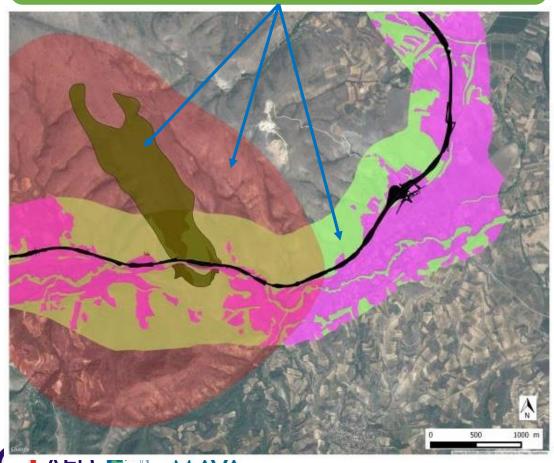




Where is the project footprint?



Does the EIA include a map that overlays the project footprint with the sensitivity map?



MAVA



Critical species habitats

Edyptian Vulture assumed core area
Habitat of endemic snail
Habitats

Critical habitat
Naturel habitat

Modified habitat

Road project



Does the project footprint include both the construction and operations (final) footprint?



Road in Macedonia







Project impacts on the water cycle (flooding, drainage, loss of connectivity) can be important and distant from the project itself, and affect terrestrial and aquatic habitats

Road in Gabon







Woodland caribou habitat may appear to be only marginally affected by oil pads and small access roads... but they avoid large areas in a buffer around roads : a much larger area is effectively lost.

An area with multiple projects can lead to the complete extirpation of a species such as woodland caribou.

Species respond differently to changes in their environment, and some are particularly sensitive to disturbance (e.g. noise, sound, smell ... or human presence)





Oil & Gas in Canada















Does the EIA consider possible and even unlikely impacts such as accidental pollution events?

- Certain impact: Very likely to occur
- Likely impact: Will probably occur
- Possible impact: May occur
- Unlikely impact: Not expected to occur





Assessing projects' impacts on biodiversity: different kinds of projects





What are the impacts of a road project?





Construction

- Construction footprint > operations footprint
- Quarries
- Fragmentation of natural habitat (incl. Aquatic)
- Erosion
- Pollution from construction and operation
- Changes to local hydrology / soils

- Disturbance buffer (noise etc.)
- Collision mortality
- Induced access & Settlement







- Pollution and noise from exploration
- Pollution and noise from marine transport
- Pipe and platform footprints
- + coastal infrastructure

- Pollution and noise from marine transport
- Light pollution (sea-birds)
- Pollution (waste management) + spill risk









- Mast and access road footprints > construction footprint
- Footprint of powerline construction
- + road impacts

- Disturbance buffer (avoidance area)
- Collision mortality (bats, birds...)
- Vegetation management (right of way)
- + powerline impacts







- Reservoir footprint & mortality during flooding
- Footprint of associated infrastructure (roads + powerlines)
- Erosion & pollution (water quality)
- Fragmentation (obstacle to fish movement)

- Change to downstream hydrological regime
- Surge effects downstream
- Change to water quality (stagnant water in the reservoir)
- + road and powerline impacts



What are the impacts of a mine project?





Construction

- Footprint, pollution and noise from exploration
- Footprint of mine & associated facilities (processing plants, roads, etc.)
- Erosion & pollution (water, dust, noise..)
- Fragmentation

- Disturbance buffer (noise, dust, etc.)
- Chronic pollution (chemical deposition)
- Pollution risk (tailings etc.)
- + road and other infrastructure impacts



What are the impacts of a tourist lodge?





Construction

- Footprint
- Pollution (materials, workers,...)
- + impact of road and utilities (power, water, etc.)

- Chronic pollution (waste management)
- Disturbance from extra tourists
- + road and other infrastructure impacts









- Clearing native vegetation on a large area
- Introduction of non-native species

- Disturbance buffer (noise, dust, etc.)
- Chronic pollution (pesticides, fertilizer)
- Increased human population (+ social changes affecting hunting / eating habits...)
- + road and other infrastructure impacts









- Footprint
- Pollution (materials, workers,...)
- + impact of road and utilities (power, water, etc.)

- Chronic pollution (waste management)
- Increased human population (+ social changes affecting hunting / eating habits...)
- + road and other infrastructure impacts







Assessing projects' impacts on biodiversity: presenting outcomes







• Magnitude of impact

- Extent
- Duration
- Intensity
- Sensitivity of the receptor biodiversity
 - Behavioural response
 - Resilience
- Significance of the impact

Likelihood ≠ Magnitude

Sensitivity ≠ Conservation status







		Sensitivity of the receptor biodiversity					
		Very low	Low	Medium	High	Very high	
Magnitude of impact	Very low	Negligible	Negligible	Minor	Minor	Moderate	
	Low	Negligible	Minor	Minor	Moderate	Major	
	Medium	Minor	Minor	Moderate	Major	Major	
	High	Minor	Moderate	Major	Major	?	
	Very high	Moderate	Major	Major	?	?	







Will EIAs deliver NNL of Net Gain of Biodiversity?







- EIA rarely planned to achieve NNL/NG.
- Typically only requires avoidance, minimisation for some impacts.
- Usually does not address residual impacts.
- Does not address all components of biodiversity affected.
- Often very site specific, without proper landscape scale.
- Often fails to address indirect and cumulative impacts.

HOWEVER mitigation including offsets can be integrated with the EIA process to deliver 'no net loss'!





If not EIA, then what is the trigger?



- Planning law and policy (ie permits for construction)
- Sectoral law and policy (eg mining, oil and gas, fisheries, agriculture, hydropower, etc)
- Environment/Conservation law (eg Clean Water Act for wetlands and Endangered Species Act for species in the USA)
- Strategic Environmental Assessment









• Typical reference EIAs help, but do not go far enough to plan No Net Loss and design mitigation measures, including offsetting.



- What additional information is needed to enable EIAs to contribute to No Net Loss planning?
- Scope (ecological)
- Scope (geographical)
- Nature of data (both quantitative and qualitative)







Project life cycle, ecological deadlines and financial life cycle are not always aligned.

How to manage this?

- Review of reference EIA ToRs and integrate offset data requirements.
- If necessary, after the EIA, you can order additional reference work.
- Be ready! Financing by banks sometimes requires very fast work.
- Standard Terms of Reference?
- Knowledge of existing data sets and experts?







Managing impacts: Following the mitigation hierarchy





Impact assessment: following the mitigation hierarchy



⇒ See the module on the Mitigation Hierarchy

⇒ This section only reviews whether EIAs present residual impacts and offsets properly

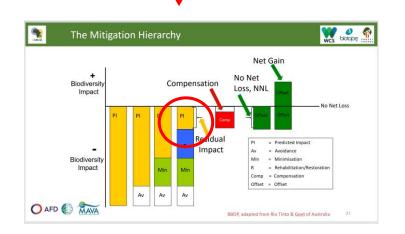






Residual impacts are the impacts that remain **AFTER** avoidance, minimisation, rehabilitation/restoration activities have been implemented. The objective of the offset or compensation is to address the residual impacts.

Avoid \rightarrow Minimise \rightarrow Rehabilitate/Restore \rightarrow Offset Residual Impacts







How are impacts and mitigation presented?



Species / Habitat		Conservation Status		Ser	Sensitivity		Avoidance & Minimization				Residual Impacts	
Nom commun Nom scientifique	stu règ DO	len. PN	Intern Période de reproduction	édiaire Période Internuptiale		Perturbations comportementales	Mesures de suppression et réduction des risques en phase de chantier		In perturbation des mesure Impact par perturbation			réglementaires (impacts résiduels concernant les espèces protégées)
Bunard cendré Circus pygorgus	Arm. .1	Ar. 3	-	Faible sur l'aire d'étude intermédiaire Négligeable sur l'aire d'étude naprochée (migration) Nul (titver)	Moyenne Espèce nichant au sol dans les cultures (bié, orge) et milieux herbacés derose. Zone de reproduction avec densité très importante de territoires au sen de aires d'étude rapprochée et internédiaire. Probabilité forte d'activités régulières de parades (hauteurs de vol à nfaçon). Aire d'étude napprochée peu fréquentie pour la chase par napport à Unite d'étude intermédiaire	Noyenne Forte prisence locale d'individu nicheurs : en 2015, 3 territoires identifiés aux aborth et au sein de l'aire d'étude intermédiatre L'aire d'étude rapprochée semble constituer un site ettractif pour l'expèce au sein d'un secteur globalement favorable favorables alentouri) Possibles phénomènes d'avension avec perte de territoires favorables (indification, alimentation)	 M1 : Modification et adaptation de l'implantation pour limiter les impacts prévisibles sur la flore et la fauen M2 : Enfoutsement des lignes de raccordement M3 : Limitation des impacts sur les liméaires de haise at les arbres toclés dans le choix des accès au site M4 : Adaptation du planning de travaus aux semitibilés motionamentales principales M5 : Ballage et protection des arbres favorables à la fauen 	Fable Atteinte possible à des habitats de reproduction et de chase sur l'ansemble des emprises (selon assolement en place au moment des travaux). Toutefors, le contexte local et la dispondulité en habitats de substitution permettent de réduire cet impact	Fable Le démarrage des travaux les plus lourch en dehors de la période de reproduction permettra aux obseaux d'intégrer l'activité du chartier lors de leur installation pour la nidification litique de déplacement temporaire des richeurs locaux (machman de 3 cospite au sein de l'emprise des travaux)	Négligeable Si application du calendrier optimal de travaux (Fort en cas d'imposibilité d'appliquer le calendrier optimal de travaux)	Fable	Non d'individu d'individu Destruction d'habitats de reproduction et/au de reproduction et/au et/au de reproduction et/au et/au de reproduction et/au et/au de reproduction et/au et/au de reproduction et/au e
Bunard Satint- Martin Circus quaneus	Ann. .1	Art. 3	Moyen	Fable	Faible à moyenne Espèce nichant au sol dans les cultures (bié, orge) et milieux herbacés denses Densité moyenne au sein des aires d'étude rapprochée et intermédiaire observée en 2015 (4 territoires Mentifiés) Possibilités d'activités de parades (fauteurs de vol à réqueil) Fréquentation à toutes les périodes de l'année,	Noyenne Présence locale assez micheurs : en 2015, 4 territoires identifiés au sein des aires rapprochée et intermédiaire L'aire d'étude napprochée ettractif poor l'expèce au sein d'un sectour globaliement favorable (nombreux habitats favorables aleritours) Possibles phénomines d'aversion avec perte de territoire favorable	Hardinates et altare stituis en limite d'emprise M6 : Mbe en sécurité des adres shattan favorables à la faune M7 : Sufri et reidiation - obeaux de plaine - en phase chantier	Fable Atteinte possible à des habitats de reproduction et de chasse sur l'ensemble des emprises (selon assolement en place au moment des travaux). Toutefeis, le contexte local et la disponibilité en habitats de substitution permettent de réduire cet impact	Faible à moyen Le démarrage des travaux les plus lourds en déhors de la période de reproduction permettra aux obseaux d'intégener l'activité du charitier lons de leur installation pour la midification Ritique de déplacement temporaire de nicheurs locaux (1 à 4 couplies au sein de l'empora des travaux)	Négligeable Si application du calendrier optimal de travaux (Fort en cas d'imposibilité d'appliquer le calendrier optimal de travaux)	Fatble	Non Absence de destruction d'individu Destruction d'habitats de reproduction et/au de re

Does the EIA provide a table that links biodiversity features, their importance, their sensitivity, mitigation measures to residual impacts?







AFD

Can residual impacts be offset?



Habitat	Key facts and risks	Dedicated Mitigation Secures (BMP)	Residual impact taking into account the BMP
Cliffs and rocky slopes (chasmophytio regetation), including a large bat cost Project construction area includes this ERITICAL HABITAT	Rocky habitats found in Drenovo gorge, with 8 ha in a ca. 1000 m wide strip centred on the axis of the planned road, are rare in the wider region. It harbours a range of ohasmophytic species of conservation significance, high densities of the single-site endemic <i>Carlnigera drenovobensis</i> , and breeding sites for the endangered Egyptian vulture (see above). Direct destruction by the project: 1.3 ha in a 100 m wide strip (16% of the extent of the habitat in a 1 km wide strip), and 0.4 ha under final project footprint. The habitat could also be degraded by dust deposits during construction and the spread of <i>Ailanthus altissima</i> by machinery and materials. A cave, situated ca. 80 m above the bottom of the oliff and the northern limit of the road project, above the river, is used as a breeding and wintering roost by several bat species. Risks to the roost included disturbance during construction, and increased mortality from collisions. In particular, Bats feed in the riparian forest and could suffer increased mortality from collisions with traffic in going back and forth between the cave and the river.	Raeo river that separates the cave from t Recluded in a CRITICAL HABITAT for this special	npacts Offset Net Impact
Petrifying spring complex (including issociated aquatic vegetation and iumid grasslands) Project construction area includes this IRITICAL HABITAT	The petrifying spring located at the north-western entrance of the Drenovo gorge, alongside and on a local pathway, is a priority habitat (7220') in Annex I of the Habitats Directive. The habitat is not directly impacted by the road project, but could suffer from increased visits and trampling by people and vehicles. It is protected from air pollution by the riparian forest along the Raeo river.	The planned road will not directly in fenced off to people and vehicles d	Pepresentative host OF CRITICAL HABITAT
Other natural habitats			
tiver, and iparian forest, orming a natural corridor for wildlife Yroject construction area includes this IATURAL HABITAT	The road project follows the Raeo river valley and 10 orossings are planned. It is unknown if the restricted range Pelagonia trout (<i>Salmo pelagonicus</i>) is present in the river. The riparian forest is present alongside a large western part of the road project in the study area and particularly in the Drenovo gorge. It is degraded by stands of Locust trees (<i>Robinia pseudoacacia</i>) and fragmented by the existing road. Direct destruction by the project could reach ca. 9 ha in a 100 m wide strip (19% of the extent of the habitat in a ca. 1 km wide strip), 1.3 ha under the final project's footprint. The river bed could be impacted by the construction of 10 bridges, a bridge-like structure on piles (in Drenovo Gorge) and by embankments. These structures could impair travel on river banks by small mammals, including the otter (<i>Lutra lutra</i>), and by animals flying along the forest canopy (including batz). Although the river corridor is already fragmented by the existing road (2 bridges), it will be further fragmented by the proposed road.	Construction in the river and the locating access roads and other habitats (MITO1). In Drenovo Ge slope habitats means that seve and riparian forest - causing di Measures will be taken to rest construction in the river and the from new obstacles across th The increase in fragmentati bats between the river vall Drenovo gorge using palisar river banks can still be use to ensure that these meas on the other 4 bridges in	At least 0 5 to Taken
Temporary streams Project construction area includes this NATURAL HABITAT	Temporary streams are habitats associated to hills in the eastern part of the study area. They allow movement by small vertebrate fauna, including amphibians, bats and mammals (including unconfirmed marbled polecat (<i>Vormela peregusna</i>), between the hills and the Raec river valley. This habitat will be crossed 16 times by the project (15 times with a culvert structure and 1 time with a bridge structure). Small vertebrates will be able to cross the road safely at these points (but will be temporarily impaired during construction). However, some increase in mortality is expected as animals cross the road outside these underpasses. Mortality on the existing road will be reduced through lower traffic.	CRITE I III	





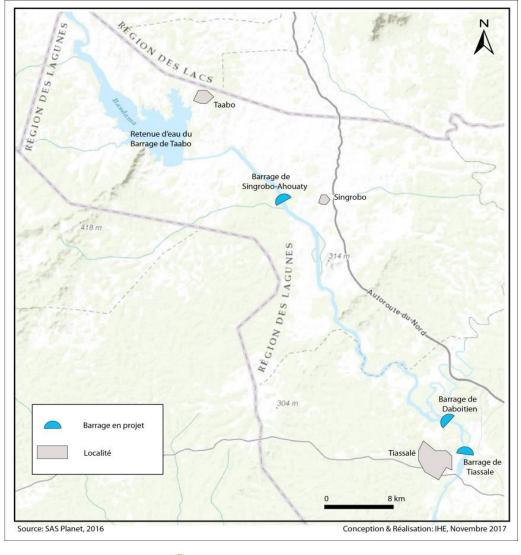
Managing impacts: Alternatives analysis





What are the alternatives?





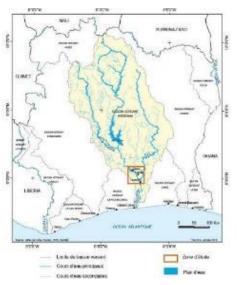
Using multi-criteria analysis to ensure the best site is chosen

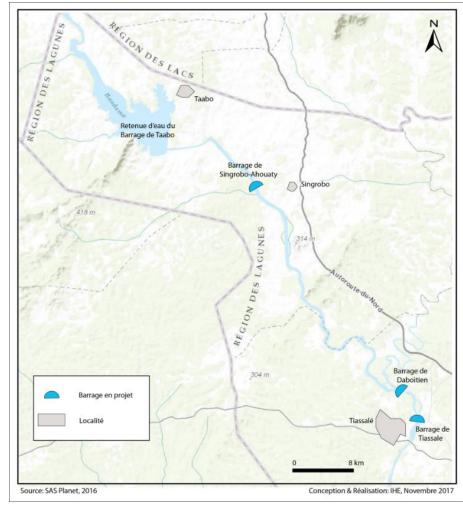




- No strategic environmental evaluation!
- Choice of the Bandama river:
 - Already two dams: Kossou & Taabo
 - Agricultural landscape with only relictual natural habitats
 - Less than 200 km from Abidjan.
- Opportunities on the Bandama studied since the 1970s
 - Singrobo-Ahouaty
 - Daboitié
 - Tiassalé.









Alternatives analysis



Récepteur	Singrobo-Ahouaty		Daboitié		Tiassalé	
Capacité installée	44 MW		50 à 60 MW		35 à 40 MW	
Superficie du réservoir noyée	18 km²		110 km²		7 km²	
Superficie des habitats terrestres noyés	Rives et îles	-1	Rives et îles	-2	Rives et îles	-1
Déplacement physique	2 foyers	0	probablement des milliers de foyers	-2	probablement des centaines de foyers	-2
Déplacement économique	700 foyers	-1	probablement des dizaines de milliers de foyers	-2	probablement des milliers de foyers	-2
Impact sur les infrastructures publiques	création d'un passage par-dessus le Bandama entre Singrobo et Ahouaty	+1	ensemble des voies de circulation à réorganiser, autoroute à déplacer	-2	pont déjà existant à Tiassalé	0
Impact sur la pêche (poissons)	Développement du potentiel halieutique	+1	Développement du potentiel halieutique	+1	Développement du potentiel halieutique	+1
Impact sur la pêche (crevettes)	Impact à l'amont du barrage jusqu'à Taabo (15 km de rivière)	-1	Impact à l'amont du barrage jusqu'à Taabo (50 km de rivière) et sur le Nzi	-2	Impact à l'amont du barrage jusqu'à Taabo (55 km de rivière) et sur le Nzi	-2
Impacts sur l'agriculture	agriculture familiale et grandes exploitations à l'échelle de la retenue	-1	agriculture familiale et grandes exploitations à l'échelle de la retenue	-2	agriculture familiale à l'échelle de la retenue	-1
Milieux aquatiques convertis en milieu lentique	Bandama: 17 km	-1	Bandama: env. 35 km Nzi: env. 50 km	-2	Bandama: 7km	-1
Surfaces forestières noyées	environ 5 km²	-1	environ 50 km²	-2	environ 2 km ²	-1
Aires protégées ou reconnues internationalement impactées	Réserve de Lamto (impact direct)	-1	Aucune	0	Aucune	0
Impacts sur les îles du Bandama et leurs habitats potentiellement critiques	Bandama: 17 km	-2	Bandama: env. 35 km Nzi: env. 50 km	-2	Bandama: 7km	-1
Total des points		-7		-13		-10







Managing impacts on biodiversity of significant conservation concern





Priority biodiversity values



- Various safeguards and standards to identify areas of priority biodiversity values
- Industry e.g. Forestry Stewardship Council and RSPO -High Conservation Value (HCV)
- Finance e.g. International Finance Corporation PS6, World Bank ESF6 (natural and critical habitat)
- Conservation community Key Biodiversity Areas, etc.







Yes, if:

- No other viable alternatives within the region exists in non-critical habitat (PS1: Alternatives Analysis)
- The project does not lead to measurable adverse impacts on the biodiversity values that make it Critical Habitat
- The project does not lead to a net reduction in the global and/or national/regional population of any CR or EN species
- A robust long-term biodiversity monitoring and evaluation plan is integrated into management plans
- Experts are involved to evaluate the biodiversity values and to design mitigation and offsets as needed





Key steps in critical habitat assessment



1. Screen possible triggers

- IUCN
- Literature
- Specialist interviews
- → List candidate species (with justification)
- Determine if habitat type is likely "threatened ecosystem" (with justification)

2. Conduct field surveys

- Design field surveys designed to find candidate species (e.g. in certain habitat types)
- Confirm species presence
- Map and describe condition of species habitats
- ➔ List CH trigger species and ecosystems (with justification)

3. Define Discrete Management Units

- Determine which species share a DMU
- Map each DMU
- 4. Assess DMU
 - Analyse DMU relative to global and national status of each species and ecosystem (%)
 - Determine if DMU is critical using thresholds in PS6
 - →List which DMUs are Critical Habitat and which are not
 - Map Critical Habitat DMUs, including condition levels

5. Assess impacts on DMUs

- Map project footprint and other impacts
- Overlay with Critical Habitat DMU
- → Quantify impacts (area lost, area x condition lost, etc.)
- Apply mitigation hierarchy







Threatened species (redlisted)





Nationally Threatened Species for Uganda

National Red List for Uganda for the following Taxa: Mammals, Birds, Reptiles, Amphibians, Butterflies, Dragonflies and Vascular Plants



Table 1. Numbers of globally and nationally threatened terrestrial vertebrates and plants in Uganda

	Taxon	Mammal	Bird	Reptile	Amphibian	Plant	Vertebrates
	Total species	396	1,043	220	83	3,662	1,742
	CR	1	4	0	1	3	6
	EN	9	8	0	1	4	18
Globally threatened	VU	17	11	2	3	35	33
threatened	DD	12	2	1	7	3	22
	Total Global	39	25	3	12	45	79
	CR	14	9	4	1	15	28
	EN	25	24	8	9	27	66
Nationally	VU	38	52	17	7	38	114
Threatened	DD	40	28	48	16	3	132
	RE	2	1	0	0	0	3
	Total National	119	114	77	33	83	343





Threatened ecosystems

Alaniz, A. J., Galleguillos, M., & Perez-Quezada, J. F. (2016). Assessment of quality of input data used to classify ecosystems according to the IUCN Red List methodology: The case of the central Chile hotspot. *Biological Conservation*, 204, 378-385.

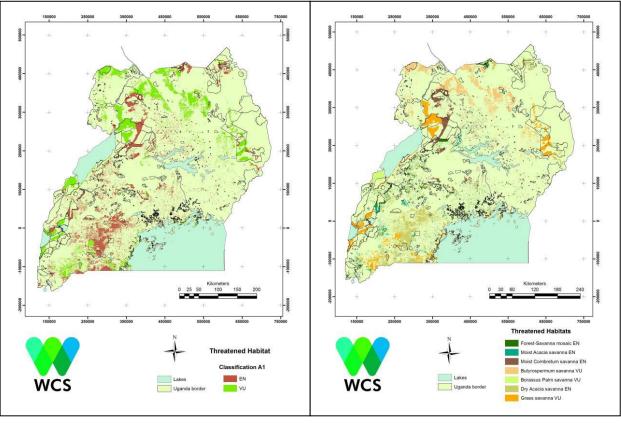
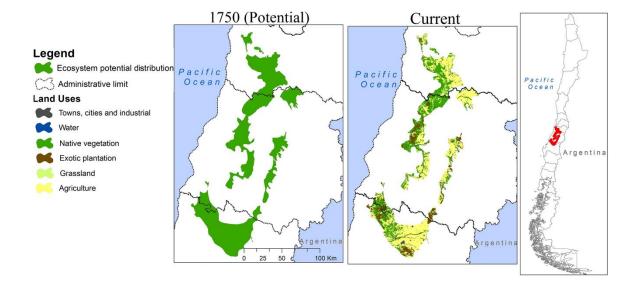


Figure 3. Classification of Threatened habitat (left) and named threatened habitat types (right)



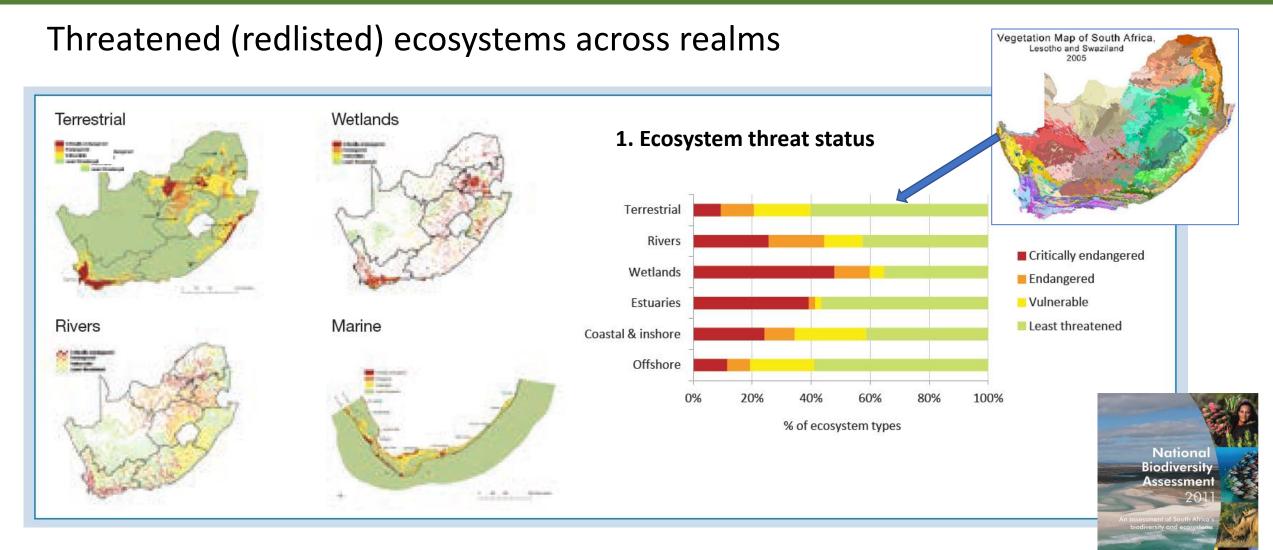






environmental affair

SANBI 🖉 🖏 🍋

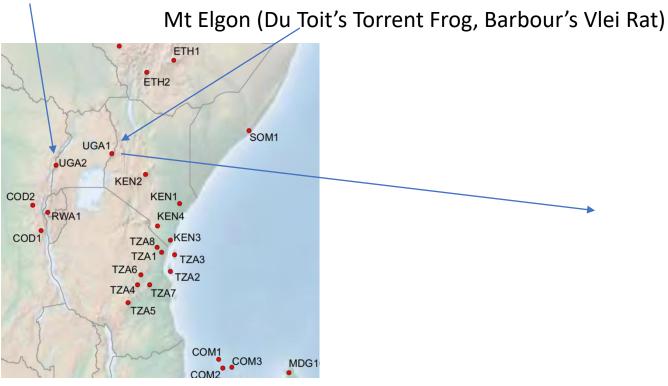






Geographically restricted

Ruwenzori Mountains NP (Montane Shaggy Rat)



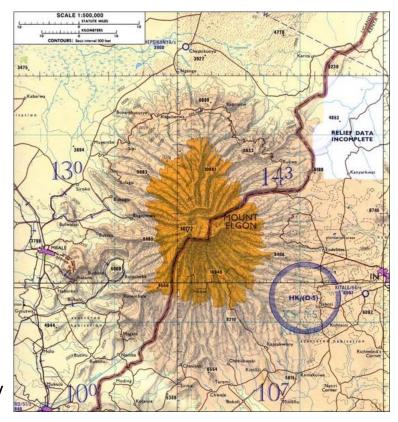
Alliance for Zero Extinction Sites



https://globally-threatened-bird-

forums.birdlife.org/category/aze-alliance-for-zero-extinction/

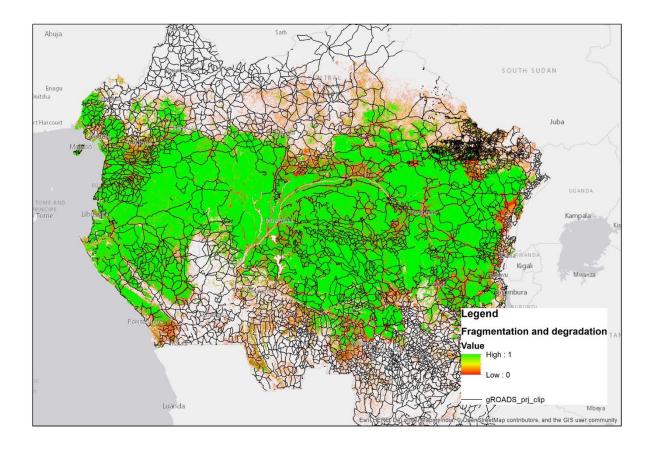








Ecologically intact ecosystems



- Earth is now largely humandominated
- Increasingly recognized that the last intact areas have very high conservation value





Biologically important



- Demographic aggregations (e.g. wildlife)
- Climate refugia
- Recruitment sources







Underpinning ecosystem services

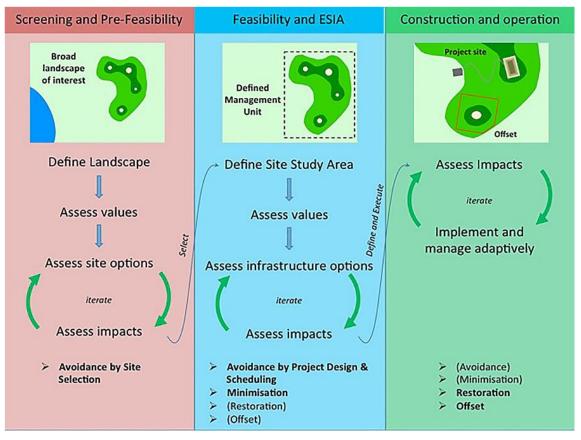


Service and a





Figure 6: Applying the mitigation hierarchy at different stages of the project time line



Note: The selection of project sites through landscape-level screening occurs in the pre-feasibility phase. Once a site has been chosen, further avoidance and minimisation may be possible within the project site. During construction and operation, implementation requires adaptive management. Iterative processes (shown by the green arrows) are important throughout.

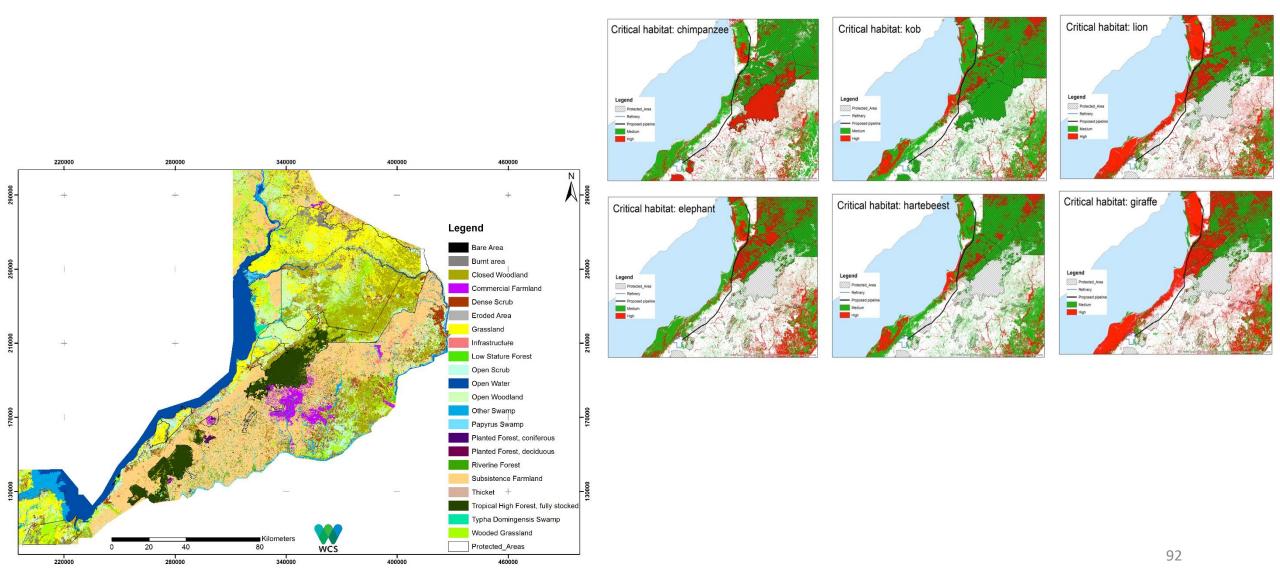
Source: adapted from CSBI (2015).



- Development projects often start with site selection then project design
- Good data and landscape planning can help inform projects is their early design phase to minimize impacts











Managing impacts: Environmental Management Plans







An EMP is an environmental management **tool** used **to ensure** that undue or reasonably avoidable adverse **impacts** of the **construction** and **operation**, and **decommissioning** of a project, are prevented; and that positive benefits of the projects are enhanced

EMPs are usually prepared following an EIA and incorporate the proposed **management actions** (avoidance, minimization, etc.) and are essential for **monitoring** environmental performance

EMPs are tools: they must be practical, detailed and project-specific EMPs must refer to impacts and to the mitigation and offsetting commitments made in the EIA process

EMPs must cover the entire project life-cycle EMPs must include indicators to enable monitoring and auditing





A BAP is the equivalent of an EMP for managing impacts on biodiversity throughout the project life-cycle ...

The BAP is an important part of the EMP.

The BAP can include a Biodiversity Offset Management Plan (BOMP)



BAPs are tools: they must be practical, detailed and project-specific BAPs must refer to impacts and to the mitigation and offsetting commitments made in the EIA process

BAPs must cover the entire project life-cycle

BAPs must include indicators to enable monitoring and auditing





- Ensuring compliance with regulations and permits
- Ensuring there are enough resources (staff, budget) to implement mitigation and offsetting measures
- Verifying environmental performance through impacts as they occur
- Responding to changes in project implementation not considered in the EIA (adaptive management)
- Responding to unforeseen events
- Providing feedback for continuous improvement









Actions:

Who?

When?

How?

Adaptive

management

Who's in charge?

- Definition of the environmental / biodiversity goals of the project
 - No net loss of biodiversity?
- Detailed description of avoidance, minimization, restoration / rehabilitation and offsetting measures to be implemented during the life of the project to address likely impacts
- Detailed description of monitoring / verification processes
- Detailed description of mechanisms for addressing changes in project design, implementation and unforeseen events (accidents)
- Detailed description of internal and external governance: roles and responsibilities, communication and reporting processes







- Project description
 - Project facilities
 - Project activities
 - Legal context (zoning, applicable regulations, etc.)
- Summary of likely impacts
 - Species, habitats, etc.
 - Impacts per phase / facility / activity
- Presentation of environmental policies and commitments
 - Project governance
 - Corporate policies / applicable procedures and guidance
 - Applicable certification (e.g. ISO 14001)

- Implementation programme
 - Detailed description of actions
- Roles and responsabilities
 - Communication channels
 - Requirements for contractors and subcontractors
 - Terms of reference for key positions

Some of the most important information for the EMP is found in the EIA

The implementation programme is the core of the EMP







The EMP must be adapted to the risks the project faces

- Low vs. high risk projects (see EIA)
- Simple vs. complex projects (e.g. multiple subcontractors)
- Short-term vs. long-term projects (need for adaptative management)

The EMP can include separate thematic components

- Biodiversity management
- Waste management
- Dust management
- Noise management

Biodiversity Action Plans are a part of the Environmental Management Plans



• ...





- Written: Management actions should be stipulated in writing, this forces the formulators to think through each action carefully.
- **Dated:** A management action must indicate a specific time by when the action should be implemented.
- Risk- or impact-specific: Each management action must link to a specific impact (either positive or negative) or environmental risk, and should be worded in specific terms rather than in general terms.
- **Time and space specific:** An indication must be given as to the conditions under which the management action applies (continuously or only in the event of contingencies). The time (such as the season or time of day) and location of the application of the management action.
- Measurable: Management actions must, where possible, be quantitatively defined. A standard with which performance can be compared, must thus be set. Objectives and targets of the management action must be clearly stated.
- Achievable: The management action must be realistic, feasible and hence achievable;
- **Reasonable:** The management action must be readily implementable within the time and budget constraints of a project.
- **Timely:** Measures must be put in place to coincide with specific project activities.
- Understandable: Management actions must be described simply, using clear, non-technical language where possible.







- Objectives
- Actions
- Implementation schedule
- Budget
- Responsabilities
- Indicators
- Monitoring

Actions are taken from the EIA (avoidance, minimization, restoration/rehabilitation, offsets) and operationalized

Actions must be clearly defined: What, who, when, where, how?

Smart indicators are used to track implementation and performance





Who is responsible?



- The developer is responsible for implementing the EMP
 - Polluter-pays principle
 - Legal liability: permits refer to the EMP
 - Financial liability: investment conditionalities refer to the EMP
- The internal organization of the developer is essential to the effective implementation of the EMP
 - Which department / service is responsible for a given action?
 - Key staff must be trained!
- Certain actions can be delegated / contracted to third-parties
 - Contracts must also transfer responsibilities for actions
- The budget has to be sufficient to cover the cost of the EMP
- A process must be in place to introduce corrective actions
 - Updating the EMP
 - Triggering particular actions (e.g. to clean-up pollution)







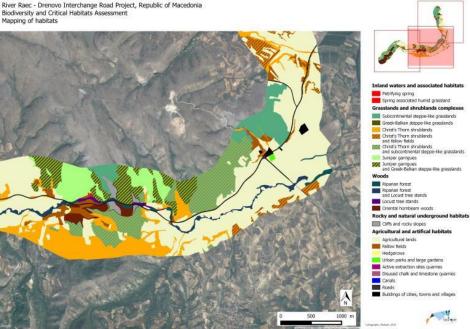




Example: Who is responsible?



Management of the offset Developer: terms of reference & procurement Public lands agency: Provides access to land Signs contract with University: monitoring developer Signs contracts with **Consultants:** local communities **Technical assistance** Audits Audits Implementation of the offset will be done by a gualified plant ecologist and take into account access to land by contacting land owners and land users. It Public lands agency: Local communities: Supervision of restoration **Restoration works**



"Gains" for grasslands: restore 15 ha of degraded grasslands in the landscape

Offset includes monitoring of baseline and restoration effects + governance

have to be restored, thereby extending OFF02.





• Action management / supervision

- Who prepares the terms of reference?
- Who signs contracts with suppliers / service providers?
- Is technical assistance needed?
- Action implementation
 - Experienced and skilled staff?
 - Equipment?

Action monitoring

- Independent monitoring?
- Experienced and skilled staff?
- Decision process to act on monitoring results?
- Auditing
 - Which government bodies are involved?
 - How are their costs covered?

A clear chain of command / responsibility is necessary to ensure actions are implemented

Training may be necessary

Monitoring must inform EMP implementation / adaptation



Anticipating failures and failings



- A process must be in place to introduce corrective actions
 - Updating the EMP
 - Implementing pre-identified corrective actions
- Monitoring must provide information to trigger corrective actions
- Corrective actions can be pre-identified and their feasibility pre-assessed

Don't pretend that things will go "according to plan". They won't.



37





- Cost components
 - Staff
 - Equipment
 - Overhead
- Costing : unit cost x quantity of units

- Don't forget:
 - Administrative cost (procurement, recruitment, contract management)
 - Financial risks (inflation & changes in exchange rates)
 - Monitoring costs
 - Auditing costs

• Quantities

- Locations
- Size: area
- Duration: years

The cost of the EMP must be factored into the Net Present Value of the project The developer and its investors carry the financial risk ... not biodiversity!







- **Biodiversity baseline** should be done prior to the start of the project
- Performance monitoring to ensure that environmental impacts are within the predicted levels and that specified environmental performance targets are being achieved.
- **Compliance monitoring** to check that the levels of specific environmental parameters are compliant with laws, regulations, standards or guidelines ... and the project permit conditions.

The baseline is included in the EIA; it must be detailed enough to enable performance monitoring

Performance monitoring must be done throughout the project lifecycle to inform management

Compliance monitoring is done at regular intervals in the context of audits by regulatory agencies







Monitoring activities must focus on the information required for:

- determining whether objectives and targets are being met
- triggering corrective actions

Monitoring will be necessary and appropriate if:

- there are residual negative impacts (after mitigation) that must be minimized
- secondary negative impacts arise as a result of the mitigation measures
- there is significant public concern or controversy about a particular impact
- potential impacts are complex and poorly understood
- the effectiveness of mitigation measures is uncertain.

Not all impacts need to be monitored!

Some projects are permitted in spite of poorly understood impacts and mitigation ... and the project must manage this uncertainty







- Indication of the linkages with impacts
- Mitigation objectives and targets for optimum performance (minimum environmental impact)
- Thresholds (including legal)
- Environmental parameters to be monitored
- Indicators to be measured
- Monitoring methods
- Sampling locations
- Frequency of sampling
- Detection limits (where appropriate)



- Reporting procedures
- Responsibility for monitoring
- Definition of thresholds that will signal the need for corrective action
- Details of how results will be analysed to determine whether corrective actions are necessary;
- Responsibility for corrective action
- Opportunities that will be provided to stakeholders to contribute to corrective actions and revisions of the EMP





- Environmental and social impact assessments offer a key process for applying the mitigation hierarchy and planning for NNL/BNG.
- When planning for NNL/BNG, it is essential to take account of indirect and cumulative impacts, since these are often far more significant than the direct impacts.
- > Impacts caused by the project but felt outside its footprint also need to be taken into consideration.
- EIAs are rarely planned to achieve NNL/BNG, but mitigation including offsets can be integrated with the EIA process to deliver NNL/BNG.
- Other law and policy can be used as a trigger for NNL/BNG, including planning law and policy (ie permits for construction), sectoral policy (eg mining, hydropower), environment/conservation law, and Strategic Environmental Assessment.
- The mitigation hierarchy is covered in a separate module, but this module covered the vital role of alternatives analyses in the impact assessment process.
- Sensitive (high conservation value) biodiversity is a particular concern in impact assessment and mitigation and must be reviewed with great care.
- With impacts assessed and mitigation measures for NNL/BNG defined, they should be translated into an Environmental Management Plan with clear objectives, actions, implementation schedule, budget, roles and responsibilities, indicators and monitoring.